

Compliance Calendar for Qualified Suppliers

ANNUAL COMPLIANCES			
Sr. No.	Compliance Description	Due Date	Exchange Circular Reference
1.	Submission of Annual Audited Net worth Certificate along with supporting financials	Within 7 months from the date of closing of accounts of said Financial Year	20240904-2 dated 04-09-2024
2.	Submission of renewal of Trade Licence on periodic basis	Within one week from the due date of renewal of the trade licence	

HALF YEARLY COMPLIANCES			
Sr. No.	Compliance Description	Due Date	Exchange Circular Reference
1.	Half Yearly Net worth Certificate – Audited / Provisional/ Limited Purpose Review along with supporting financials	Within 3 months from the date of closing of accounts of half year of the said Financial Year	20240904-2 dated 04-09-2024

QUARTERLY COMPLIANCES			
Sr. No.	Compliance Description	Due Date	Exchange Circular Reference
1.	First and third quarterly* Net worth declaration along with Net worth computation (applicable to Qualified Supplier – Special Category Client)	Within 3 Months from the end of relevant quarter	20240904-2 dated 04-09-2024

DAILY COMPLIANCES		
Sr. No.	Compliance Description	Due Date
1.	Payment of Exchange Dues	Within three days from the date of receipt of Invoice from the Exchange

INSTANCE BASED APPROVAL		
Sr. No.	Compliance Description	Due Date
1.	Change in Director	Prior Approval
2.	Change in Shareholding without change in control	Prior Approval
3.	Change in Shareholding with change in control	Prior Approval
4.	Change in Name with/without change in Constitution	Intimation to the Exchange with process for approval of the IFSCA
5.	Change in Registered Address	Intimation to the Exchange